

List of Regulated Activities

| Financial Services | | | | | |
|--------------------------|---|-------------------------------|----------|-------------------|-----------------------------------|
| Sub sector | Licence | Regulatory Bodies | Duration | One-off/renewable | Applicable Legislation |
| Non - Banking activities | Assets Management Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Distribution of Financial Products Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Registrar and Transfer Agent Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Treasury Management Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Custodian Services Licence(non-CIS) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Global Headquarters Administration Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Global Treasury Activities Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Credit Finance Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Factoring Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Leasing Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Actuarial Services Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Credit Rating Agencies/ Rating Agencies Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Payment Intermediary Services Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Representative Office Licence (for financial services provided by a person established in a foreign jurisdiction) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Other Financial Business Activity Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Management Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Management Licence Qualified / Corporate Trustee only | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Nominee Company Licence(Approval) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Self Regulatory Organisations (SROs) Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |

| Sub sector | Licence | Regulatory Bodies | Duration | One-off/renewable | Applicable Legislation |
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| Non - Banking activities | Securities Exchange Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Clearing & Settlement Facility Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Securities Trading Systems Licence | Financial Services Commission | One off | one off | Financial Services Commission act |
| Non - Banking activities | Reporting Issuer Licence | Financial Services Commission | One off | one off | Financial Services Commission act |
| Non - Banking activities | Investment Dealer Licence (Full Service Dealer including Underwriting) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Investment Dealer Licence (Full Service Dealer excluding Underwriting) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Investment Dealer Licence (Broker) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Investment Dealer Licence (Discount Broker) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Investment Adviser Licence (Unrestricted) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Investment Adviser Licence (Restricted) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Representative of Investment Dealer Licence (Full Service Dealer) Type 1 | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Representative of Investment Dealer Licence (Full Service Dealer) Type 2 | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Representative of Investment Dealer Licence (Full Service Dealer) Type 3 | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Representative of Investment Dealer Licence (Broker) Type 1 | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Representative of Investment Dealer Licence (Broker) Type 2 | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Representative of Investment Dealer Licence (Discount Broker) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Representative of Investment Adviser Licence (Unrestricted) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Representative of Investment Adviser Licence (Restricted) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | CIS Licence (Single fund) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | CIS Licence(having more than 1 fund) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | CIS Licence(Protected Cell Company) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Closed-end fund Licence (Single Fund) | Financial Services Commission | One year | Renewable | Financial Services Commission act |

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| Non - Banking activities | Closed-end fund Licence (having more than 1 fund) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Closed-end fund Licence (Protected Cell Company) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Single Fund Licence | Financial Services Commission | One off | one off | Financial Services Commission act |
| Non - Banking activities | Scheme with more than 1 sub-fund Licence | Financial Services Commission | One off | one off | Financial Services Commission act |
| Non - Banking activities | Custodian Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Long-Term Insurance Business Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | General Insurance Business Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | External Insurance Business Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Professional Reinsurer Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Insurance Agent Licence(Company) | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Insurance Broker Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Pension Schemes Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Foreign Pension Schemes Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | External Pension Schemes Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |
| Non - Banking activities | Qualified Trustee (other than a Management Company) - Authorisation Licence | Financial Services Commission | One off | one off | Financial Services Commission act |
| Non - Banking activities | Enforcer (purpose trust created by a Mauritian national only Licence | Financial Services Commission | One off | one off | Financial Services Commission act |
| Non - Banking activities | Successor to Enforcer (purpose trust created by a Mauritian national only) Licence | Financial Services Commission | One off | one off | Financial Services Commission act |
| Non - Banking activities | Global Business Licence | Financial Services Commission | One year | Renewable | Financial Services Commission act |